

# Authentication & Digital Signature

an overview

# Authentication

# Authentication

- Smartcard (UZI pass) with:
  - private key (RSA)
  - X.509 certificate (includes public key)
- PKI-Government
- Personal pass
  - guard safely
  - no sharing
  - PIN protected



Sender

Receiver

“Hello world”

“Hello world”

SHA-1 hash:  
5lIABaWYz  
xCrKldjS...

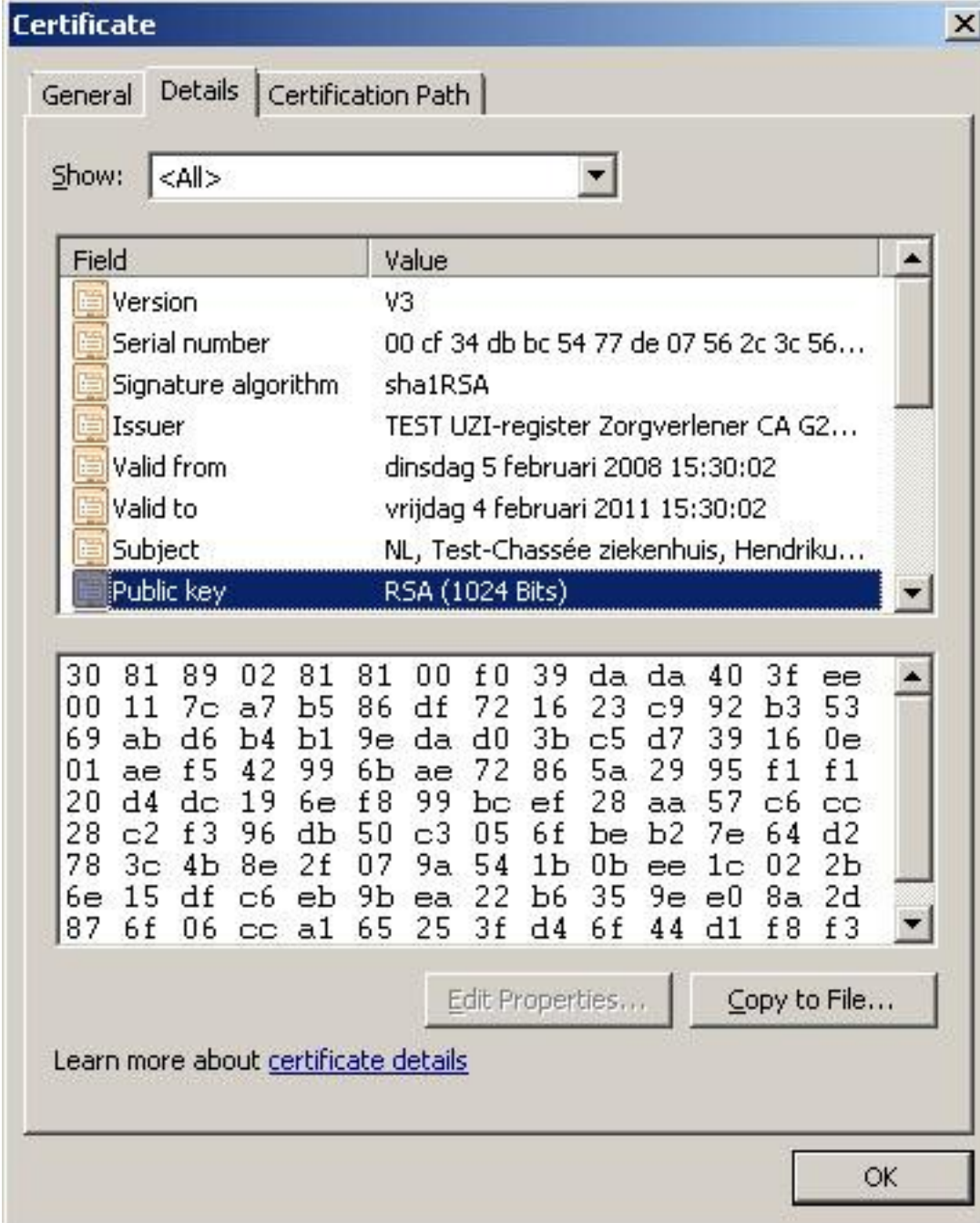
Public key:  
MIICHzCCAY  
ygAwlBAgl.....

Private key:  
shhhh.....

RSA sig value:  
c9fVK7vYAdv  
s2DRZVtS...

RSA sig value:  
c9fVK7vYAdv  
s2DRZVtS...

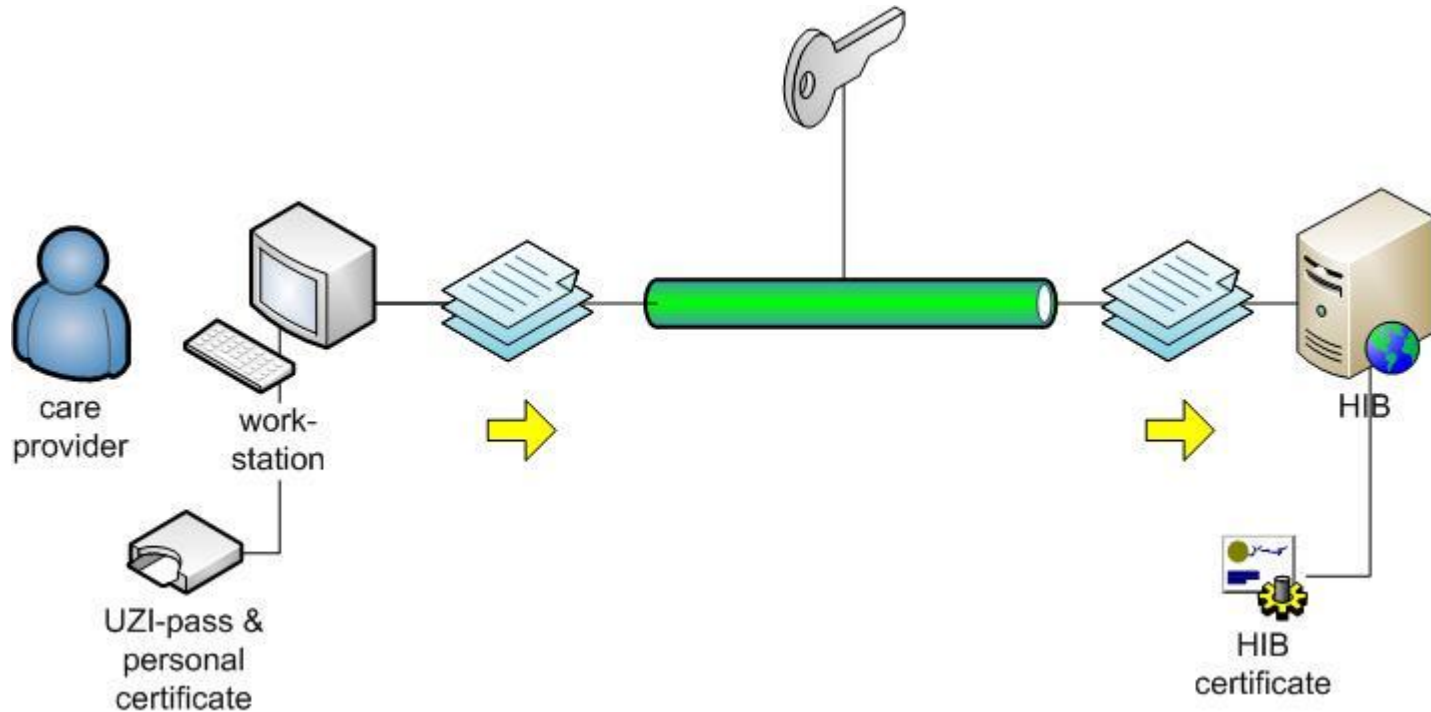
**OK**



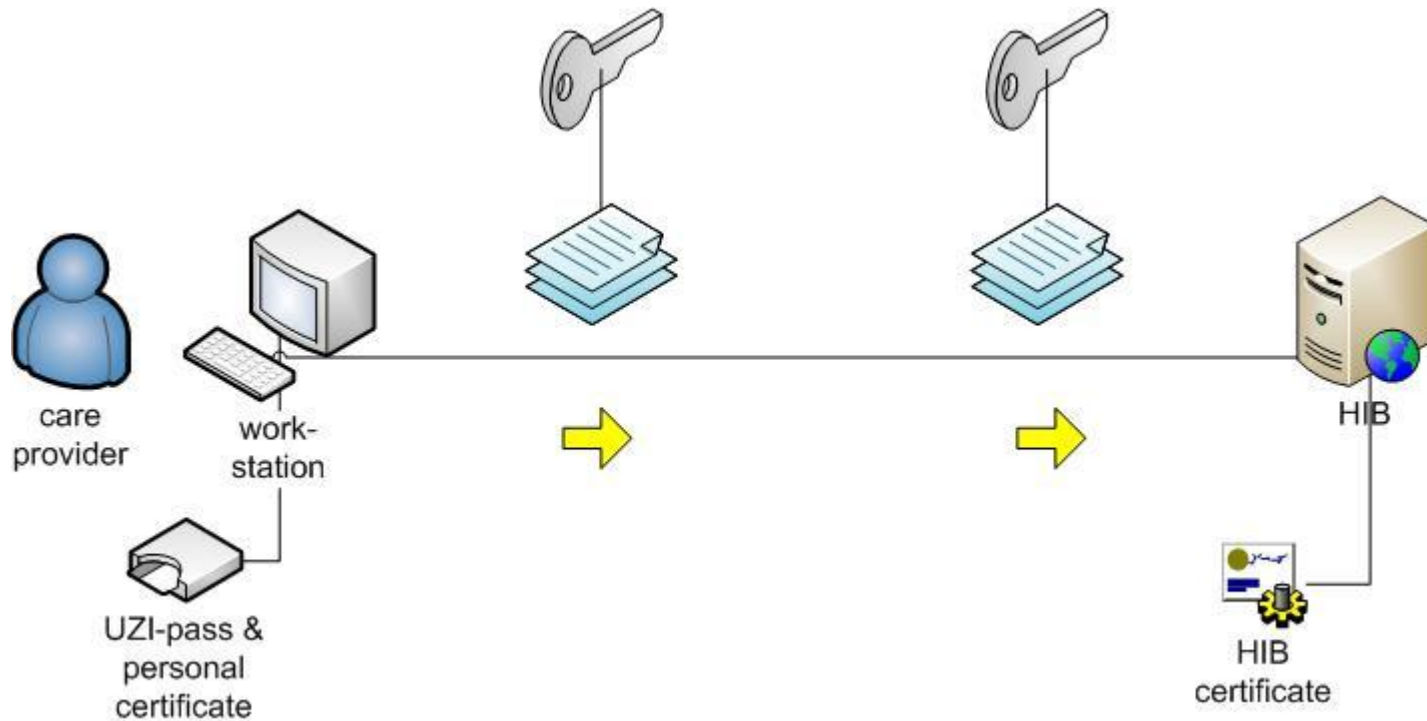
# Security Services (X.800)

- Authentication
- Authorization
- Data Confidentiality
- Data Integrity
- Non-repudiation

# Secure connection



# Secure data





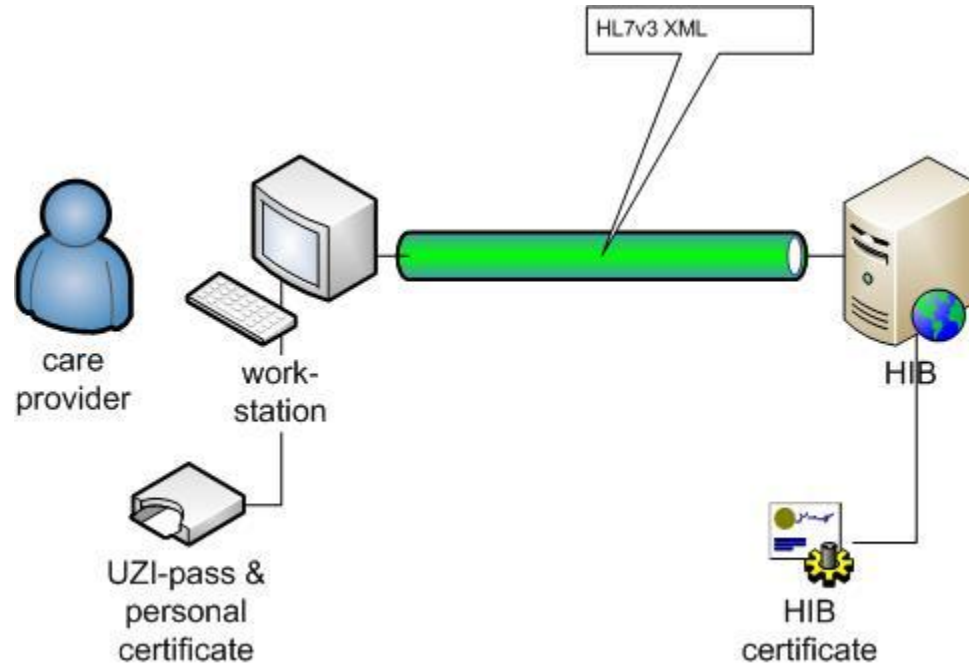
# Security services

	Secure connection	Authentication Token	Digital Signature
Authentication	√	√	√
Authorization			
Confidentiality	√		
Integrity	√		√
Non-repudiation			√

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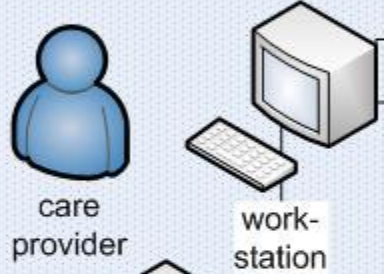
# Authentication with SSL



# (semi)public zone



care provider  
work-station  
personal certificate



care provider  
work-station  
personal certificate



care provider  
work-station  
personal certificate

# secure zone

presentation data

local format

local format

HL7v3 XML

HL7v3 XML



application server



data server



communication server



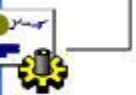
firewall



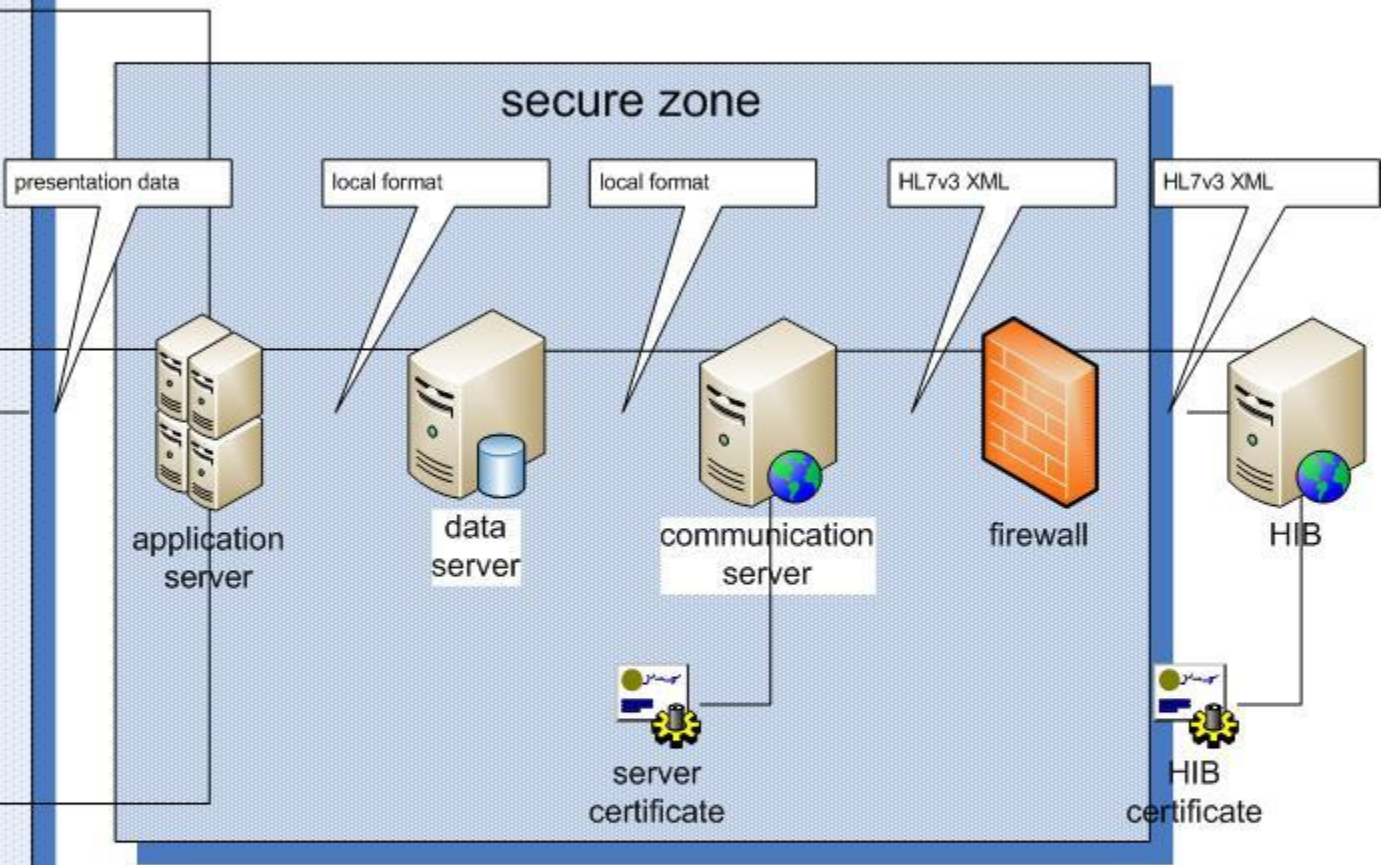
HIB



server certificate



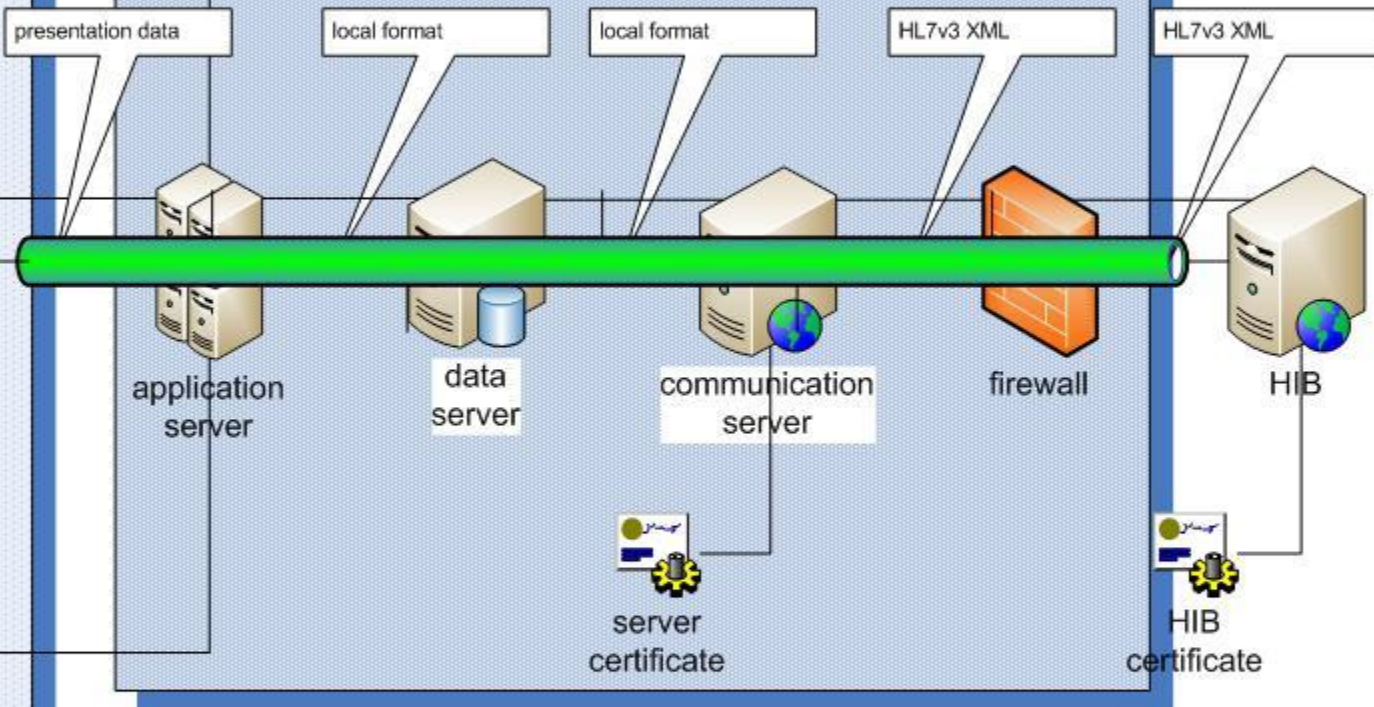
HIB certificate



# (semi)public zone



# secure zone



(semi)public zone



care provider  
work-station

personal certificate



care provider  
work-station

personal certificate



care provider  
work-station

personal certificate

secure zone

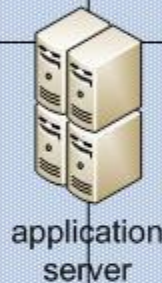
presentation data

local format

local format

HL7v3 XML

HL7v3 XML



application server



data server



communication server



firewall



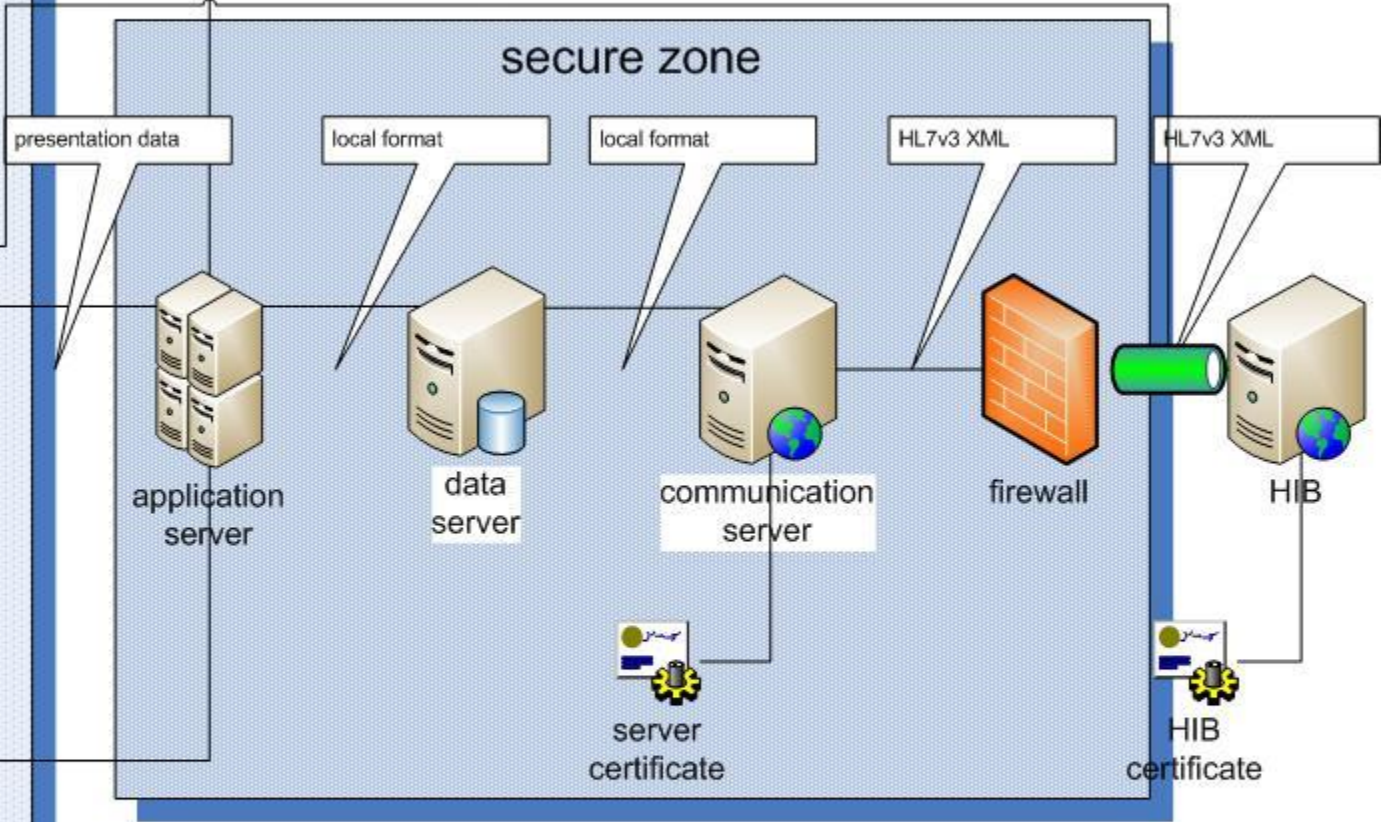
HIB



server certificate



HIB certificate



# Security with SSL

- Works well only in simple scenario's
- There is no HL7v3 XML at the client
- The client is (relatively) unsecure
- SSL lays an impenetrable tunnel across the instution's secure zone
- SSL from server to server is fine, but:
- provides no care provider authentication

# Context: clients

- all hospitals, GP's, pharmacists, other healthcare pros
- clients: any kind of client
- latest .NET / Java
- older dev environments (Delphi, BV, etc.)
- thin client/browser
- XSLT heavy
- XML / no XML
- WS-\* / no WS-\*
- HL7v3 / no HL7v3

# Context: HL7v3

- no HL7v3 at client (HL7v2, OZIS, other)
- not all data at client
  - Act.id
  - medication codes
  - patient id (BSN) not yet, is reasonable demand
- destination not always known at client
- either: require all data available at client
- or: sign subset of data



# 'Lightweight' authentication token

- X.509 style
  - message id
    - nonce
    - provides unique identification of message
    - (if duplicate removal has already taken place)
  - time to live
    - security semantics can expire
    - time to store & check nonce
  - addressedParty
    - replay against other receivers

# SSL security

- premises:
  - healthcare pro keeps smartcard + pin safe
  - software to establish SSL tunnel not corrupted
  - PKI, RSA etc. not broken
- assertion:
  - healthcare pro sets up SSL tunnel
- assumption:
  - messages going over SSL tunnel come from healthcare pro
- weakness:
  - insertion of fake messages in SSL tunnel
- measures:
  - abort SSL tunnel after period of inactivity, refresh regularly

# Lightweight token security

- premises:
  - healthcare pro keeps smartcard + pin safe
  - software to sign token not corrupted
  - PKI, RSA etc. not broken
- assertion:
  - healthcare pro signed auth token
- assumption:
  - message and auth token belong together
- weakness:
  - fake message attached to valid token

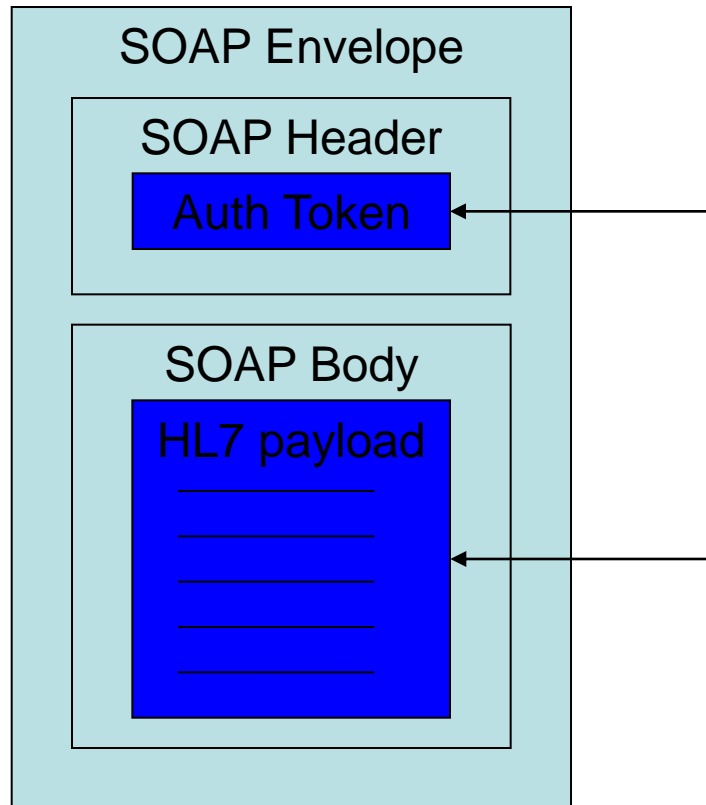
# Lightweight token security

- signedData:
  - message id
  - notBefore / notAfter
  - addressedParty
- coSignedData
  - patient id (BSN)
  - message type (HL7 trigger event id)
- only possible to retrieve same kind of data for same patient at same time from same destination
- weakness: tampering with other message parameters
- for queries: acceptable (privacy not much more broken)
- for prescription: use full digital signature

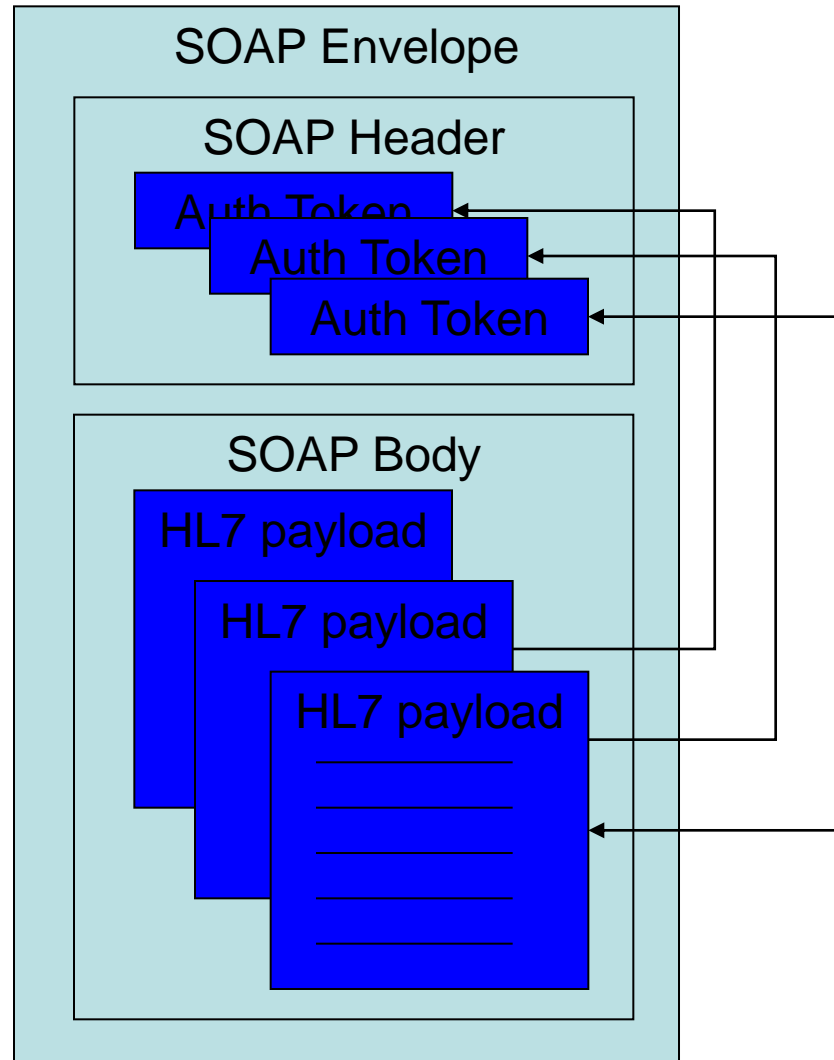
# Hospital workflow

- doctor makes round
- 360 seconds per patient
- nurse has file ready
- retrieval times are not acceptable
- pre-signing tokens and pre-fetching data just in time
- possible with auth tokens, not (so much) with SSL

# Authentication alternatives

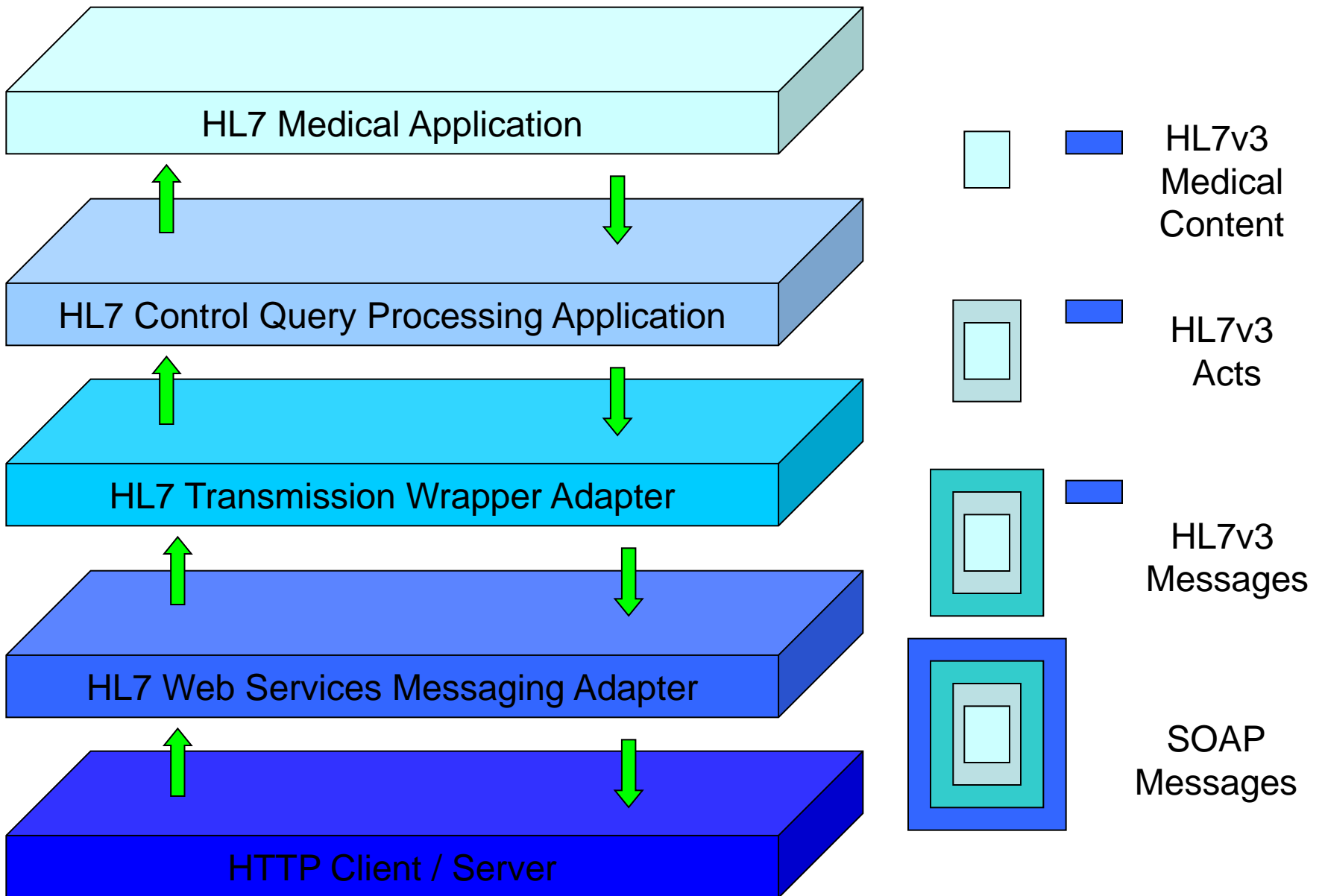


# Authentication alternatives



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# Authentication alternatives

- Authentication tokens in SOAP Headers separate them from the content
- HL7 sometimes allows multiple payloads, making this problem worse
- The token has to travel across layers with the payload
- This violates layering principles

# WS-\*

- WS-\* is confused about whether it is a document format or a message format
- document: relevant to the end user
- message: relevant to the mailman
- keep metadata with the document
- putting document metadata in SOAP headers violates layering design principles

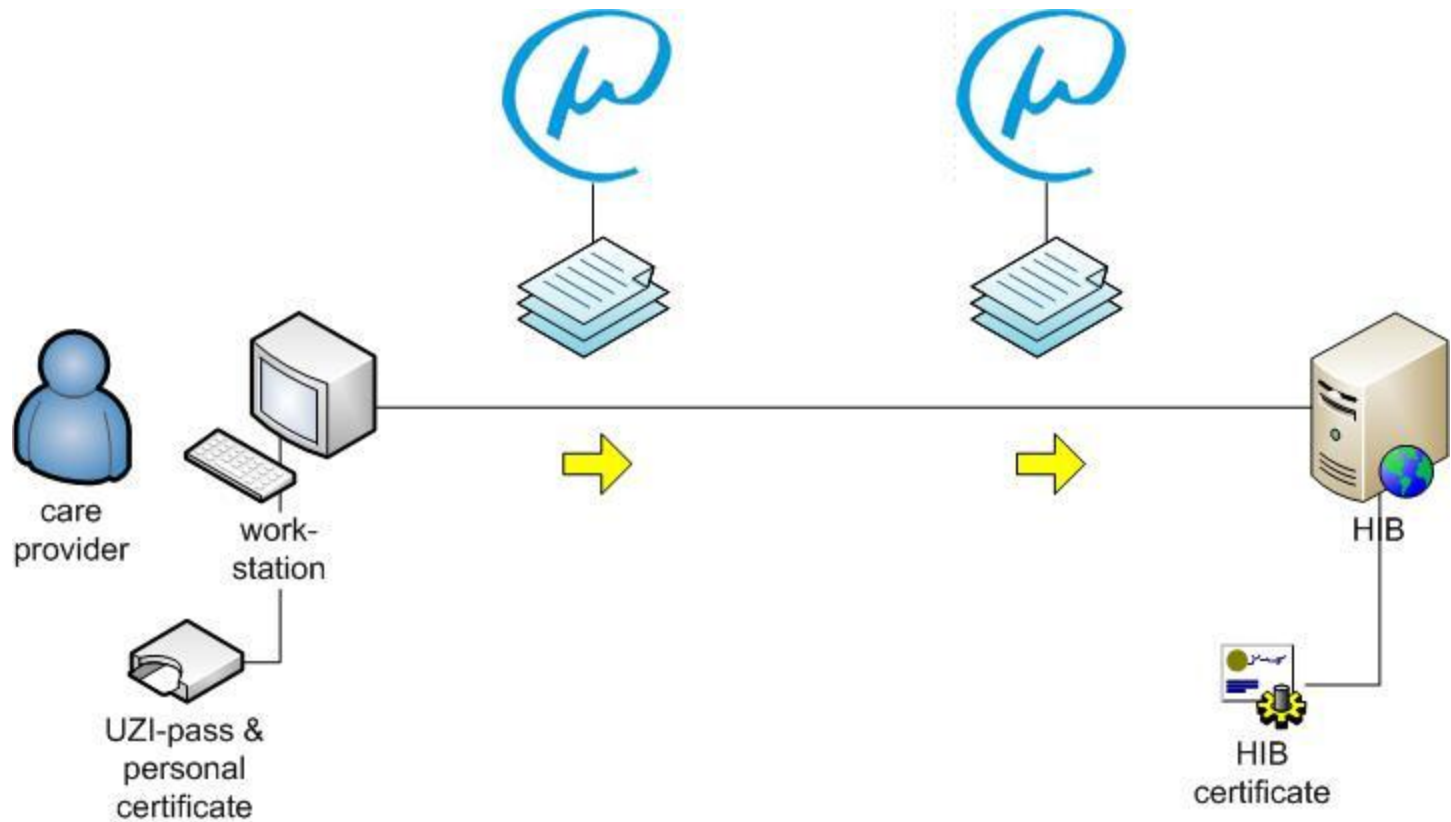
# Digital Signatures

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# Some philosophy

- “The President of the United States is John McCain”
- “Karen believes ‘the President of the United States is John McCain’ ”
- “John says that ‘the President of the United States is John McCain’ ”
- “Dr. Jones says: ‘Mr. Smith has the flu’ ”

# Signed Data



```
<code code="27"  
  codeSystem="2.16.840.1.113883.2  
  .4.4.5" />
```

"Dissolve in water"

# XML fragment

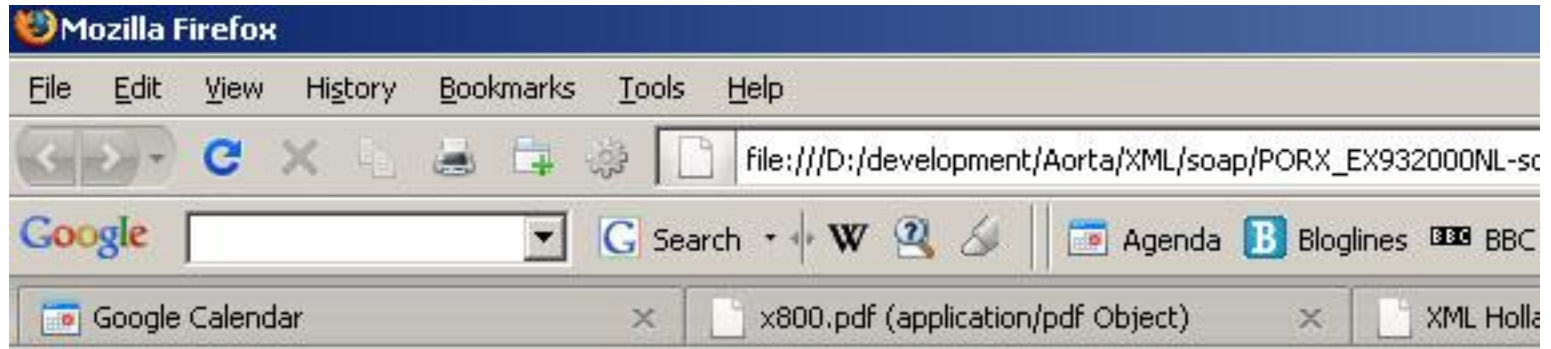
```
<Prescription>
  <id extension="0003000201" root="2.16.840.1.113883.2.4.6.1.6005465.12.1"/>
  <statusCode code="active"/>
  <subject>
    <Patient>
      <id extension="012345672" root="2.16.840.1.113883.2.4.6.3"/>
      <statusCode code="active"/>
      <Person>
        <name>
          <given qualifier="IN">J.M.</given>
          <family>Breed</family>
        </name>
        <administrativeGenderCode code="M" codeSystem="2.16.840.1.113883.5.1"/>
        <birthTime value="19680816"/>
      </Person>
      <Organization>
        <id extension="06005465" root="2.16.840.1.113883.2.4.6.1"/>
      </Organization>
    </Patient>
  </subject>
```

# Digitally signed token

```
<prescription caption="Voorschrift">
  <id>
    <root>2.16.528.1.1007.3.3.1234567.1</root>
    <extension caption="Voorschriftnummer:">0123456789</extension>
  </id>
  <date>10-12-2008</date>
  <patient>
    <name caption="Patient:">J.M. Breed</name>
    <gender>M</gender>
    <birthdate caption="Geboortedatum:">16-08-1968</birthdate>
    <id caption="BSN:">012345672</id>
  </patient>
  <author>
    <name caption="Voorschrift van:">Dr. Frans Rijtje</name>
    <id caption="UZI-nummer:">012345678</id>
  </author>
  <medication>
    <code>
      <code>999999</code>
      <codeSystem>2.16.840.1.113883.2.4.4.7</codeSystem>
    </code>
    <text caption="Medicatie:">Acetylcysteine pch poeder skvr 600mg in sachet</text>
  </medication>
  <usage caption="Gebruik:">1 x daags 1 sachet in water oplossen</usage>
</prescription>
```



# What You See Is What You Sign



## Voorschrift

Voorschriftnummer: 0123456789

Patient: J.M. Breed

Geboortedatum: 16-08-1968

BSN: 012345672

Voorschrift van: Dr. Frans Rijtje

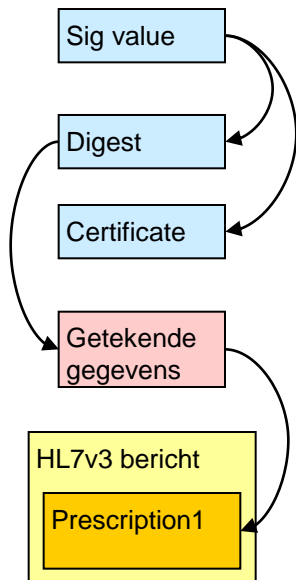
UZI-nummer: 012345678

Medicatie: Acetylcysteine pch poeder skvr 600mg in sachet

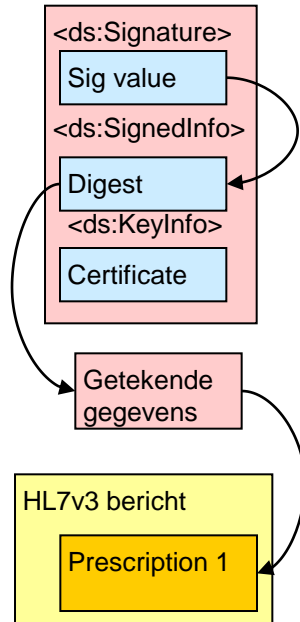
Gebruik: 1 x daags 1 sachet in water oplossen

# Token & XML Signature

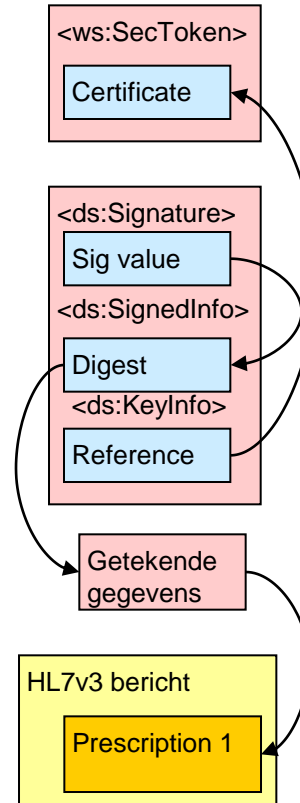
Componenten



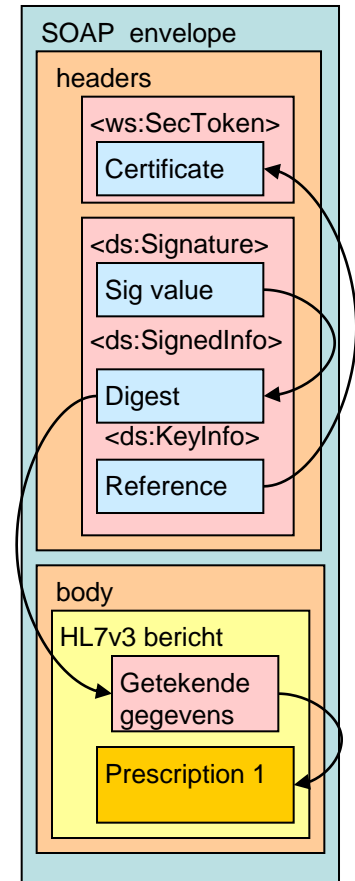
XML Signature



Met WSS



In SOAP Headers



# Meerdere Signatures, 1 certificaat

Bericht + handtekening

